



BUSINESS CONTINUITY PLAN

Updated October 2025

Red Cloud Securities has developed a Business Continuity Plan (“BCP”) with procedures to recover from the possibility of a Significant Business Disruption (“SBD”). These procedures, though varying in length and scope, require Red Cloud Securities to recover and resume business operations in a reasonable time frame. In the event of an SBD, you may contact us directly or check for updates at www.redcloudsecurities.com.

Our clients’ funds and securities are maintained at our carrying broker, Fidelity Clearing Canada ULC, www.clearingcanada.fidelity.com.

Contacting Us

If you are unable to reach your Red Cloud representative after a significant business disruption, please use any of the following methods to contact us:

Main Contact:

- 1-844-621-1251
- 1-647-792-7978
- info@redcloudsecurities.com

Trading Desk:

- 1-647-948-8501

If after an SBD, you are unable to communicate with Red Cloud Securities or your representative, you should contact Fidelity Clearing Canada ULC as follows:

- Main switchboard: 1-866-362-7020 or 416-216-2600
- Trading desk 416-216-6358
- Banking 416-216-2660
- Settlements 416-216-2606
- Transfers 416-216-2620

This Business Continuity Plan (BCP) has been developed by Red Cloud Securities Inc. in accordance with Sections 4710 – 4714 of the CICO Investment Dealer and Par ally Consolidated (IDPC) Rules, which require each Investment Dealer Member to establish, maintain, review, and test a plan identifying the procedures to be undertaken during an emergency or significant business disrupt on (SBD)