



BUSINESS CONTINUITY PLAN

Updated November 2021

Red Cloud Securities has developed a Business Continuity Plan (“BCP”) with procedures to recover from the possibility of a Significant Business Disruption (“SBD”). These procedures, though varying in length and scope, require Red Cloud Securities to recover and resume business in a reasonable time frame. In the event of an SBD, you may contact us directly or check for updates at www.redcloudsecurities.com.

Our clients’ funds and securities are maintained at our carrying broker, Fidelity Clearing Canada ULC, www.clearingcanada.fidelity.com.

If after an SBD, you are unable to communicate with Red Cloud Securities or your representative, you should contact Fidelity Clearing Canada ULC as follows:

- *Trading desk 416-216-6358*
- *Banking 416-216-2660*
- *Settlements 416-216-2606*
- *Transfers 416-216-2620.*